

Sheet 1 of 1

FORM PTO 1-449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: November 10, 2003			ATTY DOCKET NO. 2003-1035A	SERIAL NO. 10/629,865			
APPLICANT Mari HARA et al.							
FILING DATE July 30, 2003			GROUP 1626				
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>O I P E</i>	AA						
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FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ						
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	AL						
	AM						
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OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
<i>RS</i>	AO	Takeshi Hanamoto et al., "A Facile Entry to β,δ -Diketo and syn- β,δ -Dihydroxy Esters", Tetrahedron Letters, Vol. 29, No. 49, pages 6467-6470, 1988.					
<i>RS</i>	AP	Barry Lygo, "N-Acyl-2-methylaziridines: Synthesis and Utility in the C-Acylation of β -Ketoester Derived Dianions", Tetrahedron, Vol. 51, No. 47, pages 12859-12868, 1995.					
<i>RS</i>	AQ	James S. Hubbard et al., "Condensations at the 6 Position of the Methyl Ester and the Dimethylamide of 3,5-Dioxohexanoic Acid via 2,4,6-Trianions", J. Org. Chem., Vol. 46, No. 12, pages 2566-2570, 1981.					
EXAMINER	<i>Ron M</i>			DATE CONSIDERED		<i>03/06</i>	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.

Sheet 1 of 1

FORM PTO 1449 (modified)			ATTY DOCKET NO. 2003-1035A	SERIAL NO. NEW			
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			APPLICANT Mari HARA et al.				
LIST OF REFERENCES CITED BY APPLICANT(S) <i>(Use several sheets if necessary)</i>			FILING DATE July 30, 2003	GROUP 1626			
Date Submitted to PTO: July 30, 2003							
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FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
<i>RS</i>	AJ	8-92217	4/1996	Japan	—	—	
<i>RS</i>	AK	8-127585	5/1996	Japan	—	—	
<i>RS</i>	AL	0 569 998	11/1993	Europe	—	—	
<i>RS</i>	AM	0 304 063	2/1989	Europe	—	—	
	AN						
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<i>RS</i>	AO	S. Nagamatsu et al., "Chiral separation of a pharmaceutical intermediate by a simulated moving bed process", Journal of Chromatography A, 832, 55-65, (1999).					
	AP						
	AQ	<i>D. H. M.</i>					
EXAMINER		<i>Reinhard H. M.</i>		DATE CONSIDERED		<i>6/30/04</i>	

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FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: November 4, 2003

ATTY DOCKET NO.
2003-1035ASERIAL NO.
10/629,865APPLICANT
Mari HARA et al.FILING DATE
July 30, 2003GROUP
1626

U.S. PATENT DOCUMENTS

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RS	AO	Biswanath Das et al., "The First Conversion of Camptothecin To (S)-Mappicine By An Efficient Chemoenzymatic Method", <i>Bioorganic & Medicinal Chemistry Letters</i> , 8, pages 1403-1406, 1998.
RS	AP	Ramesh N. Patel et al., "Enantioselective microbial reduction of 3,5-dioxo-6-(benzyloxy) hexanoic acid, ethyl ester", <i>Enzyme Microb. Technol.</i> , vol. 15, pages 1014-1021, 1993.

EXAMINER

DATE CONSIDERED

6/30/06